

EMARK



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DAVID TOMPKINS

Application No.: 09/528,844

Filed: March 20, 2000

For: SYSTEM AND METHOD FOR
FACILITATING DISTRIBUTED
SERVER ADMINISTRATION OF
SERVER SYSTEMS THAT ARE
SCALABLE AND VERSION
INDEPENDENT
OBJECT

Group Art Unit: 2756

Examiner: Unassigned

RECEIVED

SEP 21 2001

Technology Center 2100

RECEIVED
SEP 19 2001
Technology Center 2600

2756
#6
265
9/22/01
Bry

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

Assistant Commissioner for Trademark
United States Patent and Trademark Office
2900 Crystal Drive
Arlington, VA 22202-3513

S I R:

Pursuant to 37 CFR 1.97 and 37 CFR 1.56, the Applicant respectfully submits the enclosed PTO Form 1449 and eight (8) cited U.S. patent references. This Information Disclosure Statement is submitted contemporaneously with the filing of the above-titled, new U.S. patent application and prior to the first official action on the merits. Consideration of the references cited on and attached to the enclosed PTO Form 1449 is earnestly solicited. Additionally, it is respectfully requested that the responsible Examiner indicate his/her consideration of the references cited on and attached to the enclosed PTO Form 1449 by placing his/her initials in the appropriate places on that PTO Form 1449 and submitting a copy of that form to the Applicant's representative as an attachment to the first official action on the merits.

It is believed no fees are due neither for the filing of this paper nor for the submission of the aforementioned references.

Respectfully submitted,

ERIK B. CHERDAK & ASSOCIATES, LLC

Erik B. Cherdak
Reg. No. 39,936

Date:

9.14.2001

Erik B. Cherdak & Associates, LLC
11300 Rockville Pike, Suite 906
Rockville, Maryland 20852



CERTIFICATE OF MAILING

via U.S. Mail –EXPRESS MAIL CLASS MAIL POST OFFICE TO ADDRESSEE service

I, Erik B. Cherdak or Stewart Galloway, certify that I deposited the below-listed documents with the U.S. Mail for EXPRESS Mail Post Office to Addressee service, postage pre-paid, to be delivered to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below.

Documents Submitted by EXPRESS Mail Post Office to Address Service:

- ☐ Utility Patent Application Transmittal Letter
- ☐ pages of Specification (not including title page)
- ☐ pages of Claims
- ☐ page of Abstract
- ☐ Sheets of drawings
- ☐ Notice to File Missing Parts;
- ☐ Unexecuted Declaration for Utility Patent Application
- ☐ Copy Verified Statement Claiming Small Entity
- ☐ Amendment and Response Transmittal
- ☐ Response and Amendment
- ☐ Petition for a ___ months Extension of Time (\$0.00);
- ☐ Assignment Recordation Cover Page (\$40.00)
- ☐ Copy of an Assignment document;
- ☐ Continuing Prosecution Application
- ☐ Notice of Appeal
- ☒ Information Disclosure Statement
- ☒ PTO-Form 1449 with 8 references
- ☐ issuance of Corrected Official Filing Receipt
- ☐ Checks in the amount of \$0.00 is enclosed
- ☒ Return Post Card

☒ Certificate of Mail

Erik B. Cherdak or Stewart Galloway

DATE OF DEPOSIT: 9-14-01

Attorney Docket No. 013.0082

USSN: 09/528,844

Filed: March 20, 2000

RECEIVED

SEP 21 2001

Technology Center 2100